SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

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	.,										mpany Act o									
1. Name and Address of Reporting Person* MATHIAS ROBERT J						2. Issuer Name and Ticker or Trading Symbol <u>CASS INFORMATION SYSTEMS INC</u> [CASS]									5. Relationship of Reporting Pers (Check all applicable) Director			10% Ov	/ner	
(Last) (First) (Middle) 13001 HOLLENBERG DRIVE														_ ×	below)	give title		Other (s below)	pecity	
						3. Date of Earliest Transaction (Month/Day/Year) 01/22/2013									P	resident,	, Cass	Bank		
(Street)					4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)					
BRIDGETON MO 63044												1 '	X Form filed by One Reporting Person				1			
(City) (State) (Zip)														Form filed by More than One Reporting Person						
		Tabl	e I - Nor	n-Deriv	/ative	Se	curitie	s Acc	quired,	Dis	posed of	f, or l	Bene	ficially	v Owned					
Date					ate Ex Ionth/Day/Year) if			2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 5)			5. Amour Securities Beneficia Owned F	s Illy ollowing	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
									Code	v	Amount	(/ ([(A) or (D)	Price	Reported Transacti (Instr. 3 a	on(s)			(Instr. 4)	
Common Stock 01/22/					2/2013						2,091(3	3)	Α	\$ <mark>0</mark>	11,649 ⁽²⁾			D		
		т							,		osed of, convertib				Owned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deem Execution if any (Month/Da	Date, Transacti Code (Ins					6. Date E: Expiratio (Month/D	n Date	9	7. Title and Amount of Securities Underlying Derivative Sec (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)		ve es ally ng d tion(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)		Date Exercisal	ble	Expiration Date	Title		Amount or Number of Shares						

01/21/2010⁽¹⁾

01/27/2011(1)

01/26/2012⁽¹⁾

01/25/2013(1)

01/23/2014⁽¹⁾ 01/21/2023

Appreciation \$42.14 Rights

\$21.3

\$24.93

\$29.95

\$33.56

Explanation of Responses:

1. Over a three year vesting period, SARs become exercisable in one-third increments on the anniversary date of the grant.

2. Includes restricted stock bonus shares, subject to vesting and forfeiture.

01/22/2013

3. Restricted stock bonus award; over 3 year vesting period, restrictions expire in one-third increments on the anniversary date of the award.

A

8,241

Remarks:

Stock

Stock

Stock

Rights Stock

Appreciation Rights

Appreciation Rights

Appreciation Rights

Stock Appreciation

Note: All shares and share prices have been adjusted for the 10% stock dividend paid by the Company on 12/14/2012.

/s/ Robert J. Mathias

01/24/2013

4 658

2,899

8,823

8,976

8,241

D

D

D

D

D

** Signature of Reporting Person

Shares

4.658

2,899

8,823

8,976

8,241

\$<mark>0</mark>

01/19/2019

01/25/2020

01/24/2021

01/23/2022

Common

Stock

Common

Stock

Common

Stock

Common

Stock

Common

Stock

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.